

Effective field theories for

Fluid Dynamics ~~from Black Holes~~ and Enstrophy

Natalia Pinzani Fokeeva - KU Leuven

Leiden - October 2019

~~with J. de Boer and M. P. Heller: 1812.06093 + w.i.p. also with Ricardo Espindola~~
with K. Jensen, R. Marjeh, and A. Yarom 1804.04654 + w.i.p. with R. Marjeh, and A. Yarom

Effective field theories for

Fluid Dynamics

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with K. Jensen, R. Marjeh, and A. Yarom 1804.04654

The conventional formalism

- Equations of motion $\nabla_\mu T^{\mu\nu} = 0 \quad \nabla_\mu J^\mu = 0$
- Constitutive relations
$$T^{\mu\nu} = T^{\mu\nu}(u^\mu, T, \partial)$$
$$= P(T)g_{\mu\nu} + (P'(T)T)u_\mu u_\nu + \eta\partial_\mu u_\nu + \dots$$
- 2nd Law of Thermodynamics $\nabla_\mu S^\mu \geq 0$
- Onsager relations
- Statistical fluctuations
- ... ??


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How viscous?



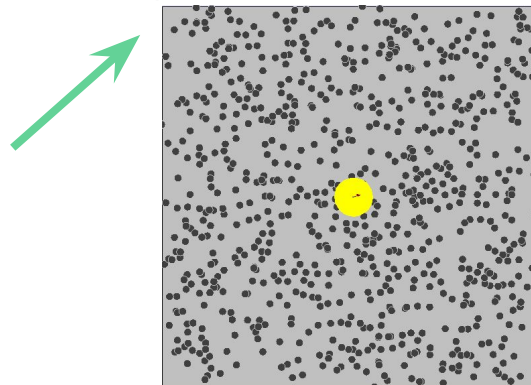
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- 2nd Law of Thermodynamics $\nabla_\mu S^\mu \geq 0$
 $\eta \geq 0$ + Some transport coefficients are vanishing at second order
- Onsager relations
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E.g. Brownian motion



Is this set of constraints sufficient?

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No.

Using Schwinger-Keldysh effective field theory techniques we are able to determine that additional constraints exist

Schwinger-Keldysh EFTs

- The Schwinger-Keldysh path integral

UV:
$$Z[A_1, A_2] = \int \mathcal{D}\phi_1 \mathcal{D}\phi_2 e^{iS[\phi_1, A_1] - iS[\phi_2, A_2]}$$
$$= \text{Tr}(\mathcal{U}[A_1] \rho(-\infty) \mathcal{U}^\dagger[A_2])$$



IR:
$$Z[A_1, A_2] = \int \mathcal{D}\xi_1 \mathcal{D}\xi_2 e^{iS_{eff}[\xi_1, \xi_2; A_1, A_2; \rho]}$$

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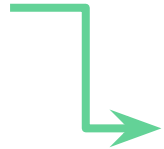


1. Symmetries
2. Degrees of freedom

The symmetries

The symmetries can be inferred from the microscopic definition

$$Z[A_1, A_2] = \text{Tr}(\mathcal{U}[A_1]\rho(-\infty)\mathcal{U}^\dagger[A_2])$$



2) SK symmetry: $Z[A_1 = A_2 = A_r] = 1$

3) Reality condition: $Z[A_1, A_2]^* = Z[A_2, A_1]$

4) KMS symmetry: (for thermal states $\rho = e^{-\beta H}$ + CPT)

$$Z[A_1(t_1), A_2(t_2)] = Z[\eta_{A_1} A_1(-t_1), \eta_{A_2} A_2(-t_2 - i\beta)]$$

5) Constraint $|Z|^2 \leq 1$

Schwinger-Keldysh positivity

- The constraint $|Z|^2 \leq 1 \rightarrow \text{Im}S_{eff} \geq 0$

A.	$\text{Im}S_{eff} = 0$	$\nabla_\mu S^\mu = 0$	Non dissipative
B.	$\text{Im}S_{eff} \geq 0$	$\nabla_\mu S^\mu \geq 0$	Dissipative
C.	$\text{Im}S_{eff} \geq 0$	$\nabla_\mu S^\mu = 0$	Pseudo-dissipative
D.	$\text{Im}S_{eff} \geq 0$	$\nabla_\mu S^\mu = 0$	Exceptional

Example

- The constraint $|Z|^2 \leq 1 \rightarrow \text{Im}S_{eff} \geq 0$

- | | | | |
|----|---------------------------|---------------------------|--------------------|
| A. | $\text{Im}S_{eff} = 0$ | $\nabla_\mu S^\mu = 0$ | Non dissipative |
| B. | $\text{Im}S_{eff} \geq 0$ | $\nabla_\mu S^\mu \geq 0$ | Dissipative |
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| D. | $\text{Im}S_{eff} \geq 0$ | $\nabla_\mu S^\mu = 0$ | Exceptional |

Example

- Example of exceptional transport

$$T_{ij} = P(T)P_{ij} + \epsilon(T)u_i u_j + (\gamma(T, \mu) - \gamma(T, -\mu)) (P_{ij}\sigma^2 - 2\Theta\sigma_{ij})$$

Example

- Example of exceptional transport

$$T_{ij} = P(T)P_{ij} + \epsilon(T)u_i u_j + (\gamma(T, \mu) - \gamma(T, -\mu)) (P_{ij}\sigma^2 - 2\Theta\sigma_{ij})$$

$$P_{ij} = g_{ij} + u_i u_j$$

Projector

Shear

Expansion

$$\Theta = \nabla_i u^i$$

$$\sigma_{kl} = P_k^i P_l^j (\nabla_i u_j + \nabla_j u_i) - \frac{2}{d} P_{ij} \Theta$$

Example

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- $\text{Im} S_{eff} \geq 0 \quad \nabla_\mu S^\mu = 0$

$$\rightarrow \int d^{d+1} \sigma \sqrt{-g} \gamma^- \left(P^{ij} P^{kl} + 2\Theta \left(\frac{1}{d} P^{ij} P^{kl} - P^{i(k} P^{l)j} \right) \right) g_{a ij} g_{a kl} \geq 0$$

$$\rightarrow \gamma^- = \gamma(T, \mu) - \gamma(T, -\mu) = 0$$

Is this set of constraints sufficient?

No.

Using Schwinger-Keldysh effective field theory techniques we are able to determine that additional constraints exist:

Schwinger-Keldysh positivity

Outlook

- A more systematic analysis?
- What would be the holographic counterpart?

Enstrophy

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w.i.p. with R. Marjeh, and A. Yarom

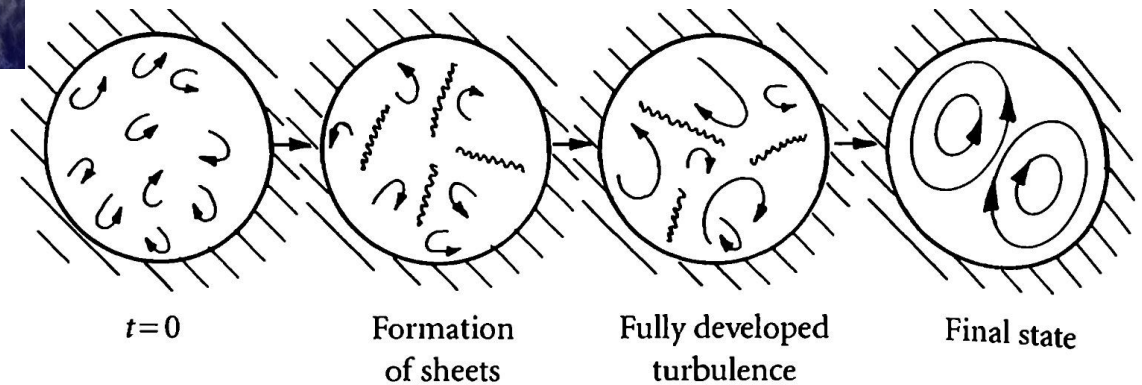
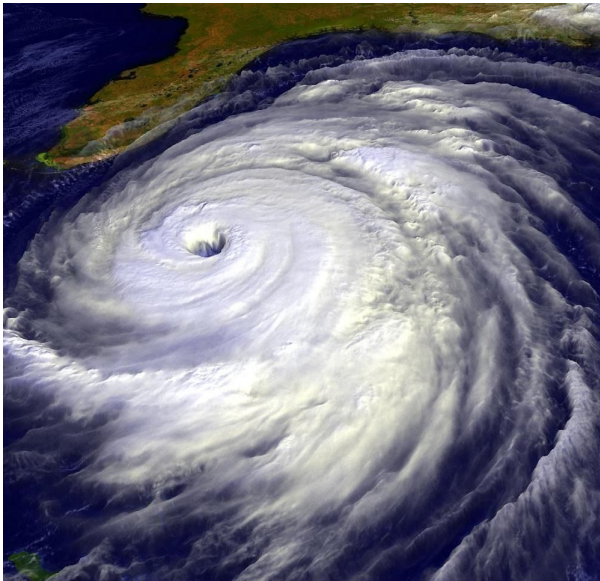
Motivation

- 3+1 turbulence → **direct** energy cascade
(from big to small scales)



Motivation

- 2+1 turbulence \rightarrow **inverse** energy cascade
(from small to large scales)



Motivation

- 2+1 turbulence \longrightarrow **inverse** energy cascade
- In non relativistic fluid flows, its origin can be traced back to the existence of an approximately conserved **enstrophy charge**:

$$\Omega = \int \omega^{ij} \omega_{ij} d^d x$$

$$\partial_t \Omega = \int \omega^{ij} \sigma_j^k \omega_{ki} d^d x - \frac{1}{R} P$$

Motivation

- 2+1 turbulence \longrightarrow **inverse** energy cascade
- In non relativistic fluid flows, its origin can be traced back to the existence of an approximately conserved **enstrophy charge**:

$$\Omega = \int \omega^{ij} \omega_{ij} d^d x$$


vorticity: $\omega_{ij} = \partial_i v_j - \partial_j v_i$

shear: $\sigma_{ij} = \partial_i v_j + \partial_j v_i$

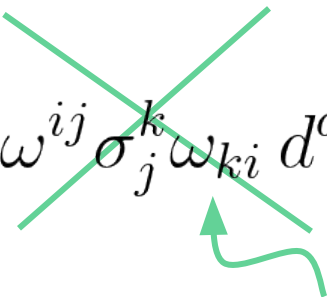
$$\partial_t \Omega = \int \omega^{ij} \sigma_j^k \omega_{ki} d^d x - \frac{1}{R} P$$

Vortex-stretching term

Motivation

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$$\Omega = \int \omega^{ij} \omega_{ij} d^d x$$

$$\partial_t \Omega = \int \omega^{ij} \cancel{\sigma_j^k} \omega_{ki} d^d x - \frac{1}{R} P$$


In d=2 spatial dimensions the Vortex-stretching term is vanishing

Motivation

- 2+1 turbulence \rightarrow **inverse** energy cascade
- In non relativistic fluid flows, its origin can be traced back to the existence of an approximately conserved **enstrophy charge**

$$\Omega = \int \omega^{ij} \omega_{ij} d^d x$$


- In d=2: Palinstrophy: $P = \int \partial_k \omega^{ij} \partial^k \omega_{ij} d^d x$

$$\partial_t \Omega = -\frac{1}{R} P$$

Reynolds number

[Kraichnan 1967, Leith 1968, Batchelor 1969]

Motivation

- 2+1 turbulence  **inverse** energy cascade
- In **non relativistic** fluid flows, its origin can be traced back to the existence of an approximately conserved **enstrophy charge**
- **Relativistic** generalization to uncharged, conformal fluid flows

[F. Carrasco, L. Lehner , Robert C. Myers, O. Reula, A. Singh 1210.6702]

- Numerical simulations in holography
- Astrophysics?
- Heavy-ion collisions?

1. An enstrophy current for charged, relativistic fluid flows?
2. Can it be derived from a symmetry principle?

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Uncharged: yes

Charged: only for restricted equations of states

2. Can it be derived from a symmetry principle?

Yes, from an effective action for ideal fluid dynamics

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Enstrophy current for **uncharged** fluids

- Consider a closed 2-form

$$\Omega_{\mu\nu} = \nabla_{\mu}(Tu_{\nu}) - \nabla_{\nu}(Tu_{\mu})$$

- On-shell it satisfies

$$\Omega_{\mu\nu}u^{\nu} = 0$$

- The enstrophy current

$$J^{\mu} = \frac{1}{s} \Omega_{\alpha\beta} \Omega^{\alpha\beta} u^{\mu}$$

- Conservation equation

$$\nabla_{\mu} J^{\mu} = -\frac{1}{s^2} \nabla_{\mu}(su^{\mu}) \Omega^2 + \frac{2}{s} \Omega^{\alpha\beta} \nabla_{\mu}(u^{\mu} \Omega_{\alpha\beta})$$

Enstrophy current for **uncharged** fluids

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Vanishing on-shell

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Vanishing on-shell

- It is straightforward to show

$$\Omega^{\alpha\beta} \nabla_\mu (u^\mu \Omega_{\alpha\beta}) = \frac{1}{2} \Omega^{\alpha\beta} (\sigma_\alpha^\mu \Omega_{\mu\beta} + \sigma_\beta^\mu \Omega_{\alpha\mu}) + \left(1 - \frac{2}{d}\right) \Theta \Omega^2$$

Relativistic generalization of the vortex-stretching term

Enstrophy current for **uncharged** fluids

- The enstrophy current

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In 2 spatial dimensions these terms are vanishing on-shell

Mini summary

- The enstrophy current

$$J^\mu = \frac{1}{s} \Omega_{\alpha\beta} \Omega^{\alpha\beta} u^\mu$$

$$\Omega_{\mu\nu} = \nabla_\mu (T u_\nu) - \nabla_\nu (T u_\mu)$$

$$\Omega_{\mu\nu} u^\nu = 0 \quad \text{on-shell}$$

- Conserved on-shell in 2 spatial dimensions

$$\nabla_\mu J^\mu = \mathcal{O}(\partial^3)$$

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2. Can it be derived from a symmetry principle?

yes, from an effective action for ideal fluid dynamics

Enstrophy current for **charged** fluids

- The enstrophy current

$$J^\mu = \frac{1}{s} \Omega_{\alpha\beta} \Omega^{\alpha\beta} u^\mu$$

- Another 2-form

$$\Omega_{\alpha\beta} = \nabla_\alpha (T f(T, \mu/T) u_\beta) - \nabla_\beta (T f(T, \mu/T) u_\alpha) + c F_{\alpha\beta}$$

- It satisfies on-shell

$$\Omega_{\alpha\beta} u^\beta = \left(f \frac{\rho T}{P + \epsilon} - \frac{\partial f}{\partial(\mu/T)} \right) T D_\alpha^\perp(\mu/T) - T \frac{\partial f}{\partial T} D_\alpha^\perp T - \left(f \frac{\rho T}{P + \epsilon} - c \right) F_{\alpha\beta} u^\beta$$

$$D_\alpha^\perp = (\delta_\alpha^\mu + u^\mu u_\alpha) \partial_\mu$$

Enstrophy current for **charged** fluids

- The enstrophy current

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$$\frac{\partial f}{\partial T} = 0$$


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$$\frac{\partial f}{\partial T} = 0$$

In the absence of external sources: $P(T, \mu) = p(T f(\mu/T))$

Enstrophy current for **charged** fluids

- The enstrophy current

$$J^\mu = \frac{1}{s} \Omega_{\alpha\beta} \Omega^{\alpha\beta} u^\mu$$

- It satisfies on-shell (which is in general non-vanishing!)

$$\Omega_{\alpha\beta} u^\beta = \left(f \frac{\rho T}{P + \epsilon} - \frac{\partial f}{\partial(\mu/T)} \right) T D_\alpha^\perp(\mu/T) - T \frac{\partial f}{\partial T} D_\alpha^\perp T - \left(f \frac{\rho T}{P + \epsilon} - c \right) F_{\alpha\beta} u^\beta$$

$$\frac{\partial f}{\partial T} = 0$$

In the absence of external sources: $P(T, \mu) = p(T f(\mu/T))$

Otherwise: $f = c_0 + c \mu/T$

Mini summary

- The enstrophy current for charged fluids

$$J^\mu = \frac{1}{s} \Omega_{\alpha\beta} \Omega^{\alpha\beta} u^\mu$$

$$\Omega_{\alpha\beta} = \nabla_\alpha (T f(\mu/T) u_\beta) - \nabla_\beta (T f(\mu/T) u_\alpha) + c F_{\alpha\beta}$$

In the absence of external sources: $P(T, \mu) = p(T f(\mu/T))$

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- Conservation equation in 2 spatial dimensions

$$\nabla_\mu J^\mu = \mathcal{O}(\partial^4)$$

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- Conservation equation in 2 spatial dimensions

$$\nabla_\mu J^\mu = \mathcal{O}(\partial^4)$$

- A family of conserved currents $J_n^\mu = \frac{1}{(as + b\rho)^{2n-1}} \Omega^n u^\mu$

1. An enstrophy current for charged, relativistic fluid flows?

Uncharged: yes

Charged: only for restricted equations of states

2. Can it be derived from a symmetry principle?



yes, from an effective action for ideal fluid dynamics

The ideal fluid effective action

- The leading order effective action for fluid dynamics

$$S_{eff} = \int \sqrt{-g} P(T, \mu) d^{d+1}\sigma$$

- The invariants

$$T = \frac{1}{\sqrt{-\beta^i \beta^j g_{ij}}} \quad \mu/T = \beta^i B_i + \Lambda_\beta$$

- The pullback sources

$$g_{ij}(\sigma) = \partial_i X^\mu \partial_j X^\nu g_{\mu\nu}(X(\sigma))$$

$$B_i(\sigma) = \partial_i X^\mu B_\mu(X(\sigma)) + \partial_i C(\sigma)$$

The ideal fluid effective action

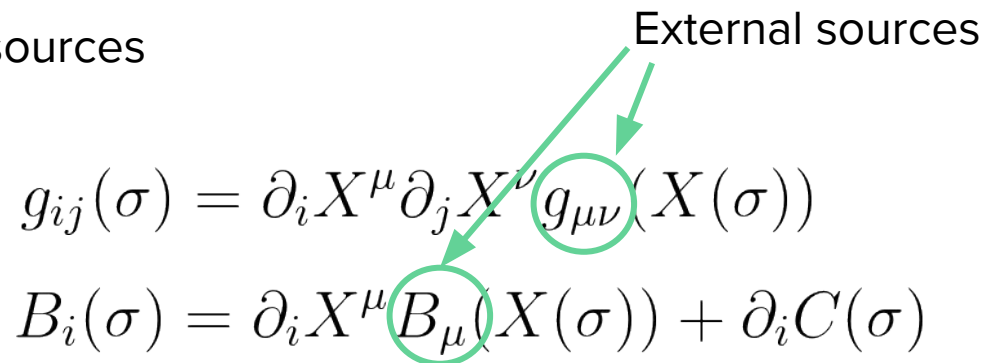
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External sources

$$g_{ij}(\sigma) = \partial_i X^\mu \partial_j X^\nu g_{\mu\nu}(X(\sigma))$$
$$B_i(\sigma) = \partial_i X^\mu B_\mu(X(\sigma)) + \partial_i C(\sigma)$$

The diagram illustrates the pullback of external sources into the fluid action. Two green circles highlight the terms $g_{\mu\nu}(X(\sigma))$ in the first equation and $B_\mu(X(\sigma))$ in the second equation. Two green arrows originate from the label "External sources" at the top right. One arrow points to the $g_{\mu\nu}$ circle, and the other points to the B_μ circle, indicating that these are the external sources being pulled back into the fluid variables.

The ideal fluid effective action

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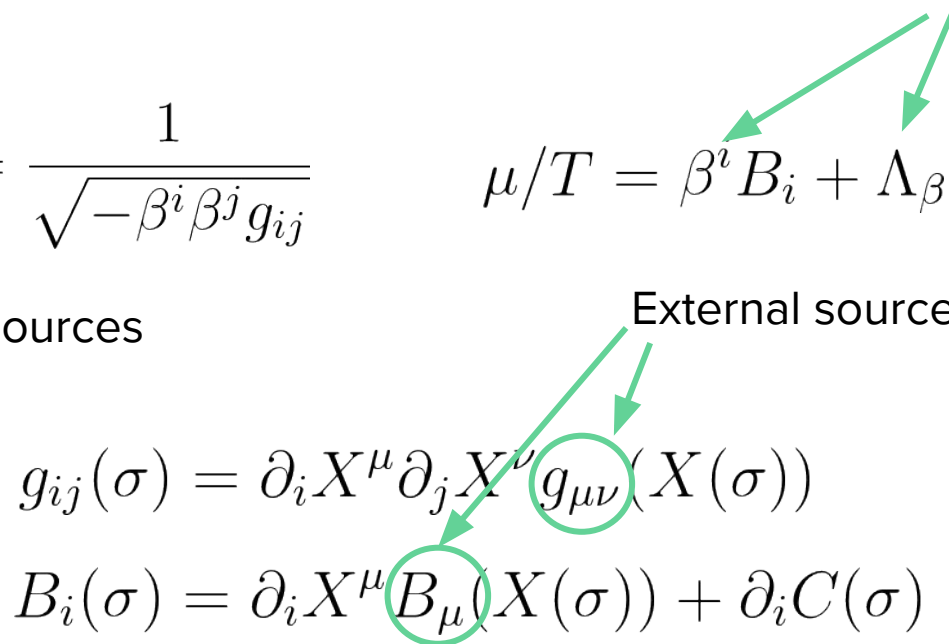
Initial state data

- The pullback sources

$$g_{ij}(\sigma) = \partial_i X^\mu \partial_j X^\nu g_{\mu\nu}(X(\sigma))$$

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External sources



The ideal fluid effective action

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Initial state data

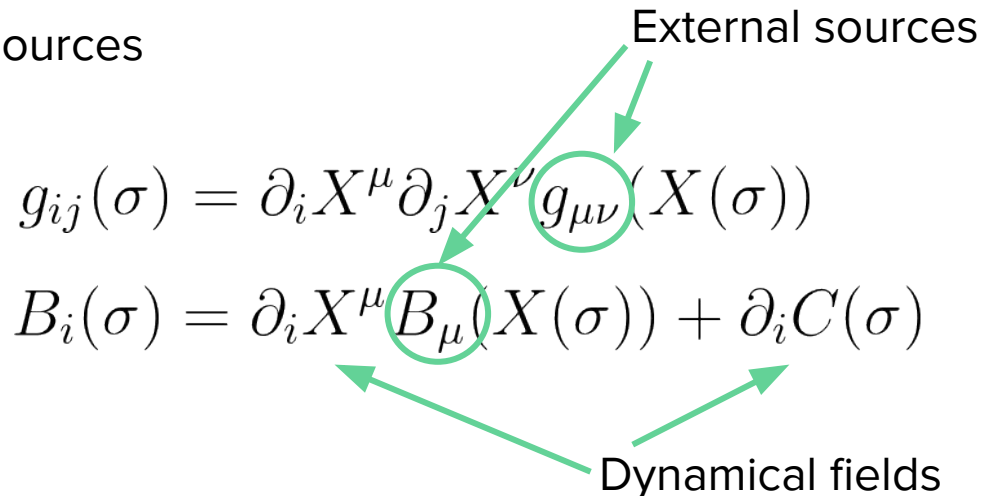
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$$B_i(\sigma) = \partial_i X^\mu B_\mu(X(\sigma)) + \partial_i C(\sigma)$$

External sources

Dynamical fields



Enstrophy from symmetry

- The leading order effective action for fluid dynamics

$$S_{eff} = \int \sqrt{-g} P(T, \mu) d^{d+1}\sigma$$

- The transformation of the dynamical fields

$$\delta X^\mu = \frac{\Omega^2}{Ts^2} u^\mu - \frac{2}{sp'} P^{\mu\alpha} \left(2\nabla_\nu \Omega^\nu{}_\alpha + \frac{\Theta E_\alpha}{p'} + 2\Omega_{\nu\alpha} a^\nu + \frac{2}{s} \left(\frac{\partial s}{\partial T} \nabla_\nu T + \frac{\partial s}{\partial \mu} \nabla_\nu \mu \right) \Omega_\alpha{}^\nu \right)$$

$$\delta C = -\frac{\mu\Omega^2}{s^2 T},$$

- Leads to the conserved Noether current

$$\tilde{J}^\mu = J^\mu - \frac{4}{sp'} \Omega^{\mu\nu} E_\nu$$

Equations of motion

1. An enstrophy current for charged, relativistic fluid flows?

Uncharged: yes

Charged: only for restricted equations of states

2. Can it be derived from a symmetry principle?

yes, from an effective action for ideal fluid dynamics

Outlook:

- $\nabla_\mu J^\mu \leq 0$?
- Does that imply inverse energy cascade for relativistic fluids?
- The symmetry responsible for enstrophy conservation in AdS4 can be related to a near horizon supertranslation [w.i.p.]
- What is the corresponding geometric quantity that grows/decreases?

[see perhaps A. Adams, P. M. Chesler, and H. Liu 1307.7267]

Thank you!

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